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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): John S. Flowers et al.

SC/Serial No.: 09/757,872

Filed: January 10, 2001

Title: QUERY-BASED RULES FOR USE IN NETWORK
SECURITY SYSTEMS

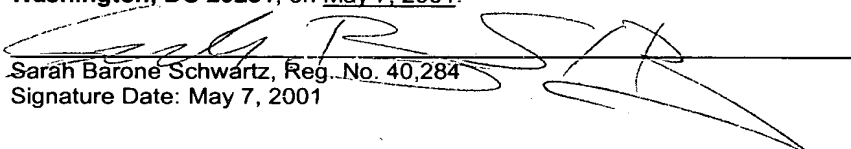


) PATENT APPLICATION

) Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on May 7, 2001.


Sarah Barone Schwartz, Reg. No. 40,284
Signature Date: May 7, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application, dated February 27, 2001, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for Patent Application
- ☒ Assignment and Assignment Recordation Form Cover Sheet
- ☐ Power of Attorney
- ☐ Statement(s) of small entity status
- ☐ Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts
- ☒ Information Disclosure Statement under 37 C.F.R. §1.56
- ☐ Preliminary Amendment

Patent Application Filing Fee

The patent application filing fee (if applicable) is calculated as shown below:

| No. Filed | No. Extra | Rate Small Entity/ Large Entity | |
|--|--------------|---------------------------------------|------|
| Basic Fee | | \$355.00 \$710.00 | = \$ |
| Total Claims <u> </u> ** - 20 = <u> </u> * | X | \$ 9.00 \$ 18.00 | = \$ |
| Independent Claims <u> </u> ** - 3 = <u> </u> * | X | \$ 40.00 \$ 80.00 | = \$ |
| First Presentation of Multiple Dependent Claim(s) <u> </u> | | \$135.00 \$270.00 | = \$ |
| Total PATENT APPLICATION FILING FEE: | | | \$ |

* If the difference is less than zero, enter "0".

** Count claims after any amendment.

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

| | | |
|----------------|---|-----------------|
| <u> </u> | Patent application filing fee | \$ |
| <u> </u> | Net fee for extension of time | \$ |
| <u> X </u> | Assignment recording fee (\$40.00) | \$40.00 |
| <u> X </u> | Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration: | |
| <u> </u> | Large Entity \$130.00 | \$130.00 |
| <u> </u> | Small Entity \$ 65.00 | \$ |
| TOTAL FEE DUE: | | <u>\$170.00</u> |

Method of Payment of Fees

- X A check in the amount of the TOTAL FEE DUE is enclosed.
- X The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: May 7, 2001

By: 

Sarah Barone Schwartz

Reg. No. 40,284

FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|--------------------------|
| 09/757,872 | 01/10/2001 | John S. Flowers | HVWD-01003US0 MEM/SBS |

CONFIRMATION NO. 8233

FORMALITIES LETTER



OC000000005793047

Sarah Barone Schwartz
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Suite 400
Four Embarcadero Center
San Francisco, CA 94111-4156



Date Mailed: 02/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/11/2001 BHUYEN1 00000033 09757872

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